Fibrinolytic Therapy with tPA Failed Because it was Based on a Flawed Concept

Gurewich V*  
Department of Medicine, Harvard Medical School  
Vascular Research Laboratory, USA  
*Corresponding author: Victor Gurewich, Department of Medicine, Harvard Medical School, Vascular Research Laboratory, USA

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Introduction

The science historian Thomas Kuhn observed that science tends not to progress as a linear accumulation of new knowledge, but rather under goes periodic revolutions which he called paradigm shifts [1]. He found that a paradigm shift was required before new findings that challenge a long-accepted concept or established practice are adopted by the scientific community. This observation is particularly relevant to fibrinolysis where a therapeutic regimen based on the premise that tPA was alone responsible for fibrinolysis has resulted in this therapy becoming prematurely discredited and replaced.

Primary percutaneous coronary intervention (PCI) is now the treatment of choice for AMI, and other endovascular procedures are being used increasingly in ischemic stroke as well. However, a technically demanding hospital procedure inevitably delays myocardial or brain reperfusion on which the salvage of function and reduction of mortality depend.

In non-human primates, the duration of coronary occlusion is the main determinant of myocardial infarct size [2]. In human AMI, when coronary reperfusion takes place within two hours of the event, infarct size measured 2% by scan whereas the figure jumped to 12% one-hour later. After four hours, little further cardiac damage occurred showing that it was already at maximum [3]. Similarly, in a study of primary PCI, it was pre-procedural patency (TIMI-3) and not posts PCI patency that was the independent predictor of one year survival [4]. In another study, the 6 month mortality in higher risk AMI patients increased from 5% to 13% when reperfusion was delayed from less than two to four or more hours [5]. These findings underscore the importance of prompt reperfusion, preferably within two hours of the event.

For most patients, this is readily achievable only with fibrinolytic therapy that can be administered intravenously before catheterization could be done. Unfortunately, current fibrinolytic therapy with tPA is neither sufficiently effective nor safe enough to make this a realistic option. Even when tPA was used as an adjunctive treatment, so-called facilitated PCI, tPA had to be abandoned because it significantly increased the bleeding and rethrombosis complication rate from the procedure [6]. Therefore, a better, safer fibrinolytic regimen is required to make early reperfusion in AMI or ischemic stroke feasible.

Background

tPA is a natural activator and the only one isolated from normal human plasma [7] and was assumed to be responsible for endogenous fibrinolysis. Its properties of high fibrin-affinity and fibrin-dependent plasminogen activation contrasted with the non-specific activators, streptokinase (SK) and two-chain (tc) uPA, available at the time. Therefore, tPA was confidently expected to be both more effective and safer.

Surprisingly, three mega-trials comparing tPA with SK in a total of 95,740AMI patients [8-10] showed that the death rate with tPA was not significantly lower than that with SK [11]. Moreover, tPA caused significantly more intracranial hemorrhage than SK [9,10]. These results were paradoxical in light of the differences in their fibrinolytic properties, and yet no explanation for the findings was ever offered.

Abstract

Fibrinolytic therapy has become synonymous with tPA based on the belief that tPA alone was responsible for natural fibrinolysis. Although this assumption was belied from the outset by disappointing clinical results, it persisted, eventually causing fibrinolysis to be discredited and replaced by an endovascular procedure. Since time to reperfusion is the critical determinant of outcome, which in acute myocardial infarction (AMI) means within two hours, a time-consuming hospital procedure is ill-suited as first line treatment. For this purpose, fibrinolysis is better suited. The assumption that tPA is responsible for fibrinolysis is contradicted by published findings. Instead, tPA initiates fibrinolysis which is continued by urokinase plasminogen activator (uPA) which has the dominant effect. tPA and uPA gene deletion and clot lysis studies showed the activators to have complementary functions, requiring both for a full effect at fibrin-specific doses. They are also synergetic in combination thereby requiring much lower doses. A clinical proof of concept study in 101 AMI patients was published. Each received amino IV bolus of tPA followed by a 90 minute infusion of pro uPA, the native form of uPA. A near doubling of the 24h TIMI-3 infarct artery patency rate compared to tPA was obtained. In further contrast to tPA, there were no reclosures and the mortality was only 1%. A sequential combination of both activators, mimicking natural fibrinolysis, holds promise to significantly improve the efficacy and safety of therapeutic fibrinolysis.

Keywords: Endogenous fibrinolysis; tPA; uPA; Fibrinolytic therapy
Had this occurred, it might have raised questions about whether the assumption that tPA was solely responsible for endogenous fibrinolysis was still tenable.

Nonetheless, after these trials tPA was given regulatory approval for AMI, and it remains the fibrinolytic of choice. However, due to tPA’s continued disappointing efficacy and intracranial bleeding complications, it has been replaced by PCI as the treatment of choice in AMI, and endovascular procedures are being used increasingly in ischemic stroke as well.

Although PCI solved the problem of tPA bleeding complications, a hospital-based procedure inevitably delays reperfusion beyond the optimal two-hour reperfusion window for most patients. For first-line therapy, more rapid reperfusion is needed.

The endogenous fibrinolytic system

The standard tPA dose in AMI is 100 mg infused over 90 minutes, giving a plasma concentration of about 3–4 µg/ml [10]. By comparison, the endogenous tPA plasma concentration is 10–12 ng/ml, about 1,000-fold less, of which at least half is in an inactive complex with plasminogen activator inhibitor-1 (PAI-1) [12]. Despite this, the natural endogenous system is continuously lysing fibrin. This is evident by the invariable presence of the fibrin degradation product D-dimer, the normal plasma concentration of which is 112–250 ng/mL. Since D-dimer is ~60% of the fibrin monomer mass, this represents a steady state of ~1 mg of lysed fibrin in a plasma volume of 3,000 mL. Only in a patient with a potent autoantibody to thrombin was the D-dimer plasma concentration reported to be closer to zero (12–32 ng/ml) [13]. In the presence of venous thromboembolism, the D-dimer concentration increases by as much as 30-fold, representing lysis of a corresponding amount of fibrin by the endogenous system.

Evidently, fibrin formation is ongoing even in normal individuals and is kept in control by endogenous fibrinolysis, a defense system that also has a significant reserve capacity as shown by its response to thromboembolism. The small amount of endogenous free tPA available cannot alone account for this efficacy, implicating an additional activator.

Physiological fibrinolysis requires two plasminogen activators

There are two plasminogen activators in blood, the second one being uPA. In contrast to tPA, most of the uPA is carried on the surface of platelets [14,15] and monocytes [16] rather than being free in plasma, explaining why it has often eluded detection.

The native form of uPA is a single-chain proenzyme, prouPA, which is activated by plasm in to the enzyme, tcuPA. Neither form of uPA has fibrin affinity, in contrast to tPA, but instead there is a uPA cell surface receptor (uPAR) [17]. This allows uPA to induce pericellular plasminogen activation important for cell migration. This important uPA function led to the erroneous conclusion that uPA’s activity was limited to the extra vascular space [18]. The belief that uPA was not involved in intravascular fibrinolysis persisted [19] and perpetuated the misunderstanding responsible for the unquestioned use of tPA alone for fibrinolytic therapy.

No paradigm shift has yet taken place, which may explain why, published evidence that uPA has an important and even dominant function in fibrinolysis has been ignored. For example, tPA and uPA gene knockout studies in mice showed that deleting tPA alone did not diminish endogenous clot lysis much, whereas uPA deletion caused significant inhibition of lysis. When both tPA and uPA genes were
deleted, fibrinolysis was essentially arrested [20], suggesting that tPA and uPA were synergistic in combination. Similarly, uPA but not tPA deletion caused spontaneous fibrin deposition in mice, while deleting both activators induced substantial intravascular fibrin deposition [21].

The more potent effect of uPA over tPA can be attributed at least in part to uPA having two fibrinolytic forms, the single-chain proenzyme and the two-chain enzyme, whereas the single and two-chain forms of tPA have identical fibrinolytic activities [22]. The fibrinolytic activity of tPA also has only a single known fibrin-binding site that is on lysine γ-(312-325) of the D-domain of intact fibrin [23] where it induces activation of a proximally bound plasminogen.

The properties of tPA and prouPA and their fibrinolytic functions are complementary [24], and in combination they are synergistic both in vivo [25] and in clot lysis studies in vitro [26]. Both activators are, therefore, required for a full fibrinolytic effect at fibrin-specific doses. Fibrinolysis with tPA alone was inevitably going to be inadequate, explaining its history. Unfortunately, since tPA has been equated with fibrinolysis in general, the latter has also become discredited.

**The sequential combination of tPA and prouPA responsible for fibrinolysis**

In the biological system, when an intravascular thrombus forms threatening blood flow, it triggers the release of tPA from the vessel wall where it is stored. The tPA then binds to the thrombus at its binding site [23] proximal to plasminogen only since Aα157 of the fibrin D-domain [27]. This tPA-fibrin-plasminogen ternary complex promotes tPA’s activity about 1000-fold [28] and initiates the fibrin D-domain [27]. This tPA-fibrin-plasminogen ternary complex promotes tPA’s activity about 1000-fold [28] and initiates fibrin degradation. The remaining unbound tPA is rapidly cleared at its binding site [23] proximal to plasminogen only and uPA the remaining two, consistent with its dominant fibrinolytic effect [20,21] (Figure 1).

The tPA initiation of plasminogen activation on the fibrin D-domain and that by prouPA on the E-domain was confirmed by studies with these isolated fibrin domains. Plasminogen activation by tPA was promoted only by fibrin fragment-D while that by prouPA was promoted only by partially degraded fibrin fragment-E [34], consistent with their highly selective and complementary modes of action [24]. As a result, effective lyssiat safe, fibrin-specific doses can be achieved only with both activators in combination.

When only tPA is used, as in standard fibrinolytic therapy, a high non-specific dose is required for tPA to non-specifically activate the two uPA-specific fibrin-bound plasminogens. At this dose, hemorrhagic complications due to lysis of hemostatic fibrin become a significant risk [29]. Furthermore, since the promoting effect by the synergistic combination is absent, fibrinolysis is also less effective with tPA alone [25,26].

**A clinical proof of concept**

A multicenter clinical trial using the biological regimen of a sequential activator combination was conducted in 101 patients with AMI. A mini-bolus of tPA was administered iv to initiate fibrin degradation, followed by a modest infusion of prouPA. The first 10 patients received a 10 mg bolus but this was found to be excessive, so the remaining 91 patients received 5 mg of tPA (5% of the standard therapeutic dose) followed by a prouPA infusion of 40 mg/h for 90 minutes in all patients. Complete patency (TIMI-3) of the infarct artery at 24h was seen in 82% of the 28 patients that were re-catheterized. No reocclusions or reinfarctions occurred, consistent with the absence of a prothrombotic effect by prouPA, in contrast to that by tPA [35]. The overall study mortality was only 1%, reflecting the high patency rate without reocclusions [36]. These results compared favorably with those of the best of the tPA trials in which the TIMI-3 patency at 24h was only 45% and the mortality was 6.3% [37].

These findings represent a proof of concept of the sequential, complementary, synergistic fibrinolytic mechanisms of action of tPA and uPA and of their clinical potential when used in combination. Although the trial was published in a prominent journal, it gained little traction, reflecting Kuhn’s observation that a paradigm shift is required before a change in a long-established concept and practice is accepted [1]. Not long after this trial, the development of prouPA by pharma was unfortunately discontinued.

**Conclusion**

Fibrinolysis and fibrinolytic therapy continue to be synonymous with tPA alone, and published evidence to the contrary remains unrecognized. The clinical consequences of this have been significant and costly. A Kuhn paradigm shift is needed and is long overdue.

**References**


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